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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicant(s): Shinichi SHINOZUKA, et al.

U.S. Serial No.: 10/581,073

Group Art Unit: To Be Assigned

Filed: May 31, 2006

Examiner: To Be Assigned

For: METHOD OF CONTROLLING SUBSTRATE PROCESSING APPARATUS
AND SUBSTRATE PROCESSING APPARATUS

THIRD INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This Statement is filed to submit additional papers to the PTO from International Application No. PCT/JP2004/017752. The submitted papers that pertain to PCT/JP2004/017752 are:

- (1) PCT Notification of Transmittal of Copies of Translation of the International Preliminary Examination Report (PCT/IB/338);
- (2) PCT International Preliminary Report on Patentability (PCT/IB/373); and
- (3) Translation of PCT Written Opinion of the International Searching Authority (PCT/ISA/237).

A PTO-1449 form is included herewith listing these documents, and a copy of each of these documents is attached.

This Information Disclosure Statement is being filed before receipt of a first Office Action in the present application, and therefore it is believed that no fees are due under 37 C.F.R. Section 1.97(i).

Respectfully submitted,
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| FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT | ATTY. DOCKET 033082M328 | SERIAL NO. 10/581,073 |
| | APPLICANT: Shinichi SHINOZUKA, et al. | |
| | FILING DATE May 31, 2006 | GROUP ART UNIT To Be Assigned |

U.S. PATENT DOCUMENTS

| *Examiner's Initials | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB-CLASS | FILING DATE, IF APPROPRIATE |
|----------------------|----|-----------------|------|------|-------|-----------|--------------------------------|
| | AA | | | | | | |
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FOREIGN PATENT DOCUMENTS

| *Examiner's Initials | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB-CLASS | TRANSLATION YES NO |
|----------------------|----|-----------------|------|---------|-------|-----------|----------------------------|
| | AH | | | | | | |
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OTHER INFORMATION (Including Author, Title, Date, Pertinent Pages, Etc.)

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| /RH/ | AQ | Notification of Transmittal of Copies of Translation of the International Preliminary Report on Patentability (Form PCT/IB/338) – PCT/JP2004/017752, dated January 2004 |
| ↓ | AR | PCT International Preliminary Report on Patentability (Form PCT/IB/373) - PCT/JP2004/017752, dated January 2004 |
| ↓ | AS | Translation of PCT Written Opinion of the International Searching Authority – (Form PCT/ISA/237) - PCT/JP2004/017752, dated January 2004 |

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| EXAMINER: /Ronald Hartman Jr/ (05/27/2008) | DATE CONSIDERED: 05/27/2008 |
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.